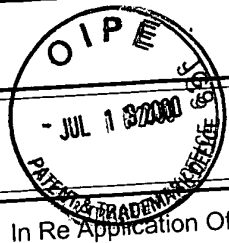


GAU 2858



TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
11675.168

In Re Application Of: Leonard E. Mess

Serial No.
09/123,633

Filing Date
July 28, 1998

Examiner
V. Nguyen

Group Art Unit
2858

Title: THERMALLY CONDUCTIVE INTERPOSER AND METHOD

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal of Information Disclosure Statement (2 pgs); Supplemental Information Disclosure Statement (2 pgs);
Form PTO-1449; Copies of References; Promptness Certification (2 pgs); Postcard

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of _____
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.


Signature

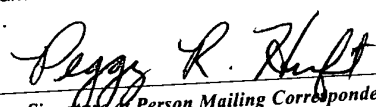
Bradley K. DeSandro, Reg. No. 34,521

Dated: June 30, 2000



22901
PATENT TRADEMARK OFFICE

CC:

I certify that this document and fee is being deposited on <u>July 13, 2000</u> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
	
Signature of Person Mailing Correspondence	
Peggy R. Huft	
Typed or Printed Name of Person Mailing Correspondence	

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.168

In Re Application Of: **Leonard E. Mess**

Serial No.
09/123,633

Filing Date
July 28, 1998

Examiner
V. Nguyen

Group Art Unit
2858

Title: **THERMALLY CONDUCTIVE INTERPOSER AND METHOD**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.168

In Re Application Of: Leonard E. Mess

Serial No.
09/123,633

Filing Date
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Examiner
V. Nguyen

Group Art Unit
2858

Title: **THERMALLY CONDUCTIVE INTERPOSER AND METHOD**

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Peggy R. Huft
Signature of Person Mailing Correspondence

Peggy R. Huft

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Bradley K. DeSandro
Signature

Bradley K. DeSandro, Reg. No. 34,521

Dated: June 30, 2000



22901

PATENT TRADEMARK OFFICE

CC:



PATENT APPLICATION
Docket No: 11675.168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Leonard E. Mess

Serial No.: 09/123,633

Filed: July 28, 1998

For: THERMALLY CONDUCTIVE INTERPOSER
AND METHOD

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

RECEIVED

JUL 13 2000
TECHNOLOGY CENTER 2800

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

<u>U.S. Patents</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
5,530,376	6/96	Lim et al.	324	765
5,915,755	6/99	Gochnour et al.	29	843
5,678,301	6/99	Gochnour et al.	29	827

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 30 day of June 2000.

Respectfully submitted,



BRADLEY K. DESANDRO
Attorney for Applicant
Registration No. 34,521

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707

BKD:prh
Enclosures

Docket: 11675.168

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PATENT APPLICATION
Docket No.: 11675.168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Leonard E. Mess

Serial No.: 09/123,633

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For: THERMALLY CONDUCTIVE INTERPOSER
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PROMPTNESS CERTIFICATION
UNDER 37 C.F.R. § 1.97(e)

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JUL 13 2000
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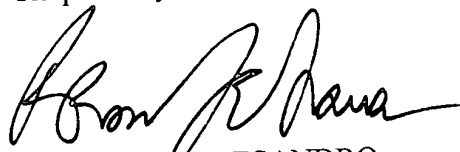
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby certify that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application more than three (3) months prior to the filing of this statement, or, to the knowledge of the undersigned attorney of record after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three (3) months prior to the filing of this statement.

Dated this 30 day of June 2000.

Respectfully submitted,



BRADLEY K. DESANDRO
Attorney for Applicants
Registration No. 34,521

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
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BKD:prh

Docket: 11675.168

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